From affine Poincaré inequalities to affine spectral inequalities

Julián Haddad (UFMG, Brazil) joint work with H. Jiménez and M. Montenegro

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June 2020 Nowhere in particular

For an open and bounded $\Omega \subset \mathbb{R}^n$ and $1 \leq p,q \leq \infty$, let

$$\lambda(\Omega) = \inf \left\{ \frac{\||\nabla f|\|_p}{\|f\|_q} \middle| \ f: \overline{\Omega} \to \mathbb{R} \text{ smooth and } f = 0 \text{ in } \partial \Omega \right\}$$

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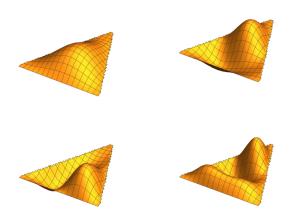
$$\lambda_{2,\Omega} = \inf \left\{ \frac{\||\nabla f||_2}{\|f\|_2} \middle| f \in W_0^{1,2}(\Omega) \right\}$$

- There is a unique minimizer $f \in W_0^{1,2}(\Omega)$.
- It solves the differential equation

$$\left\{ \begin{array}{rl} \Delta f + \frac{\lambda_{2,\Omega}^2}{2} f &= 0 \text{ in } \Omega \\ f &= 0 \text{ in } \partial \Omega. \end{array} \right.$$

• $f(x)\sin(\lambda_{2,\Omega} \cdot t)$ describes a vibrating membrane with the boundary fixed at $\partial\Omega$.





Equivalently: $\lambda_{2,\Omega}$ is the best constant in the Poincaré inequality

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$$\frac{\||\nabla f_{\varepsilon}|\|_{p}}{\|f_{\varepsilon}\|_{q}} = \varepsilon^{n(\frac{1}{q} - \frac{1}{p} + \frac{1}{n})} \frac{\||\nabla f|\|_{p}}{\|f\|_{q}}$$

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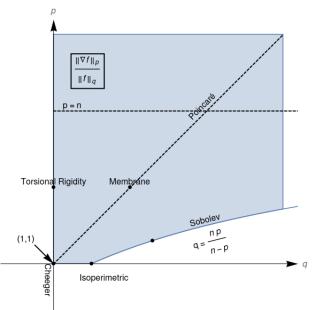
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Take derivative with respect to ε at $\varepsilon=0$:

$$-\int \langle \nabla f(x), \nabla g(x) \rangle dx + \lambda_{2,\Omega}^2 \int f(x)g(x)dx = 0$$

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which means

$$\Delta f + \lambda_{2,\Omega}^2 f = 0$$



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Among all membranes Ω of a fixed volume, the ball minimizes the fundamental frequency $\lambda_{2,\Omega}.$

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The equality case is delicate (Brothers-Ziemer result)

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Definition

$$\mathcal{E}_p f = c_{n,p} \left(\int_{\mathbb{S}^{n-1}} \| \nabla_{\xi} f \|_p^{-n} d\xi \right)^{-1/n}$$

'99, Zhang - The affine Sobolev Inequality

'03, Lutwak, Yang, Zhang - Sharp affine p-Sobolev Inequalities

'09, Cianchi, Lutwak, Yang, Zhang - Affine Moser-Trudinger...

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$$\mathcal{E}_p f = c_{n,p} \left(\int_{\mathbb{S}^{n-1}} \| \nabla_{\xi} f \|_p^{-n} d\xi \right)^{-1/n} = \tilde{c}_{n,p} \operatorname{vol}(\Pi_p^{\circ} f)^{-1/n}$$

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$$\||\nabla f|\|_1 \ge \mathcal{E}_1 f \ge C_n \|f\|_{\frac{n}{n-1}}$$

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$$\mathcal{E}_p f^* \le \mathcal{E}_p f$$

'16, Nguyen - New approach to the affine Polya-Szegö principle...

Equality case (Brothers-Ziemer result)

$$\||\nabla f|\|_p = \left(\int_{\Omega} |\nabla f(x)|^p dx\right)^{1/p}$$

$$\mathcal{E}_p f = \left(\int_{\mathbb{S}^{n-1}} \|\nabla_{\xi} f\|_p^{-n} d\xi \right)^{-1/n}$$

$$S(K) = \||\nabla \chi_K|\|_1$$

$$S^{\mathcal{A}}(K) := \operatorname{vol}(\Pi^{\circ}K)^{-1/n} = \mathcal{E}_1 \chi_K$$

Sobolev

$$|||\nabla f|||_p \ge C_{n,p} ||f||_{\frac{np}{n-p}}$$

Zhang

$$\mathcal{E}_p f \ge C_{n,p} \|f\|_{\frac{np}{n-p}}$$

Isoperimetric

$$S(K) \ge c_n \operatorname{vol}(K)^{\frac{n-1}{n}}$$

Petty-Projection

$$S^{\mathcal{A}}(K) \ge c_n \operatorname{vol}(K)^{\frac{n-1}{n}}$$

$$\||\nabla f^*|\|_p \le \||\nabla f|\|_p$$

$$\mathcal{E}_p f^* \le \mathcal{E}_p f$$

$$\lambda_{p,\Omega} \ge \lambda_{p,\Omega^*}$$

?

Can we develop the theory of affine Rayleigh quotients?

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The affine Rayleigh quotient

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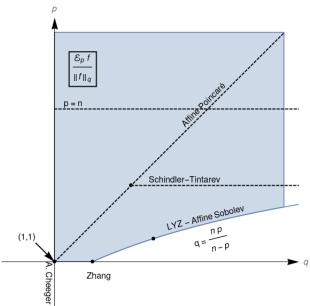
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Observation |

$$W_0^{1,p}(\Omega) = \{f : \Omega \to \mathbb{R} | \mathcal{E}_p f < \infty \}$$
 is the correct space to work with affine Rayleigh quotients

Affine Rayleigh quotients



Theorem

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$$\mathcal{E}_p f \ge C_{n,p}(\Omega) \|f\|_p^{\frac{n-1}{n}} \||\nabla f|||_p^{1/n}$$

Theorem

Let $\Omega \subset \mathbb{R}^n$ be a bounded open set and $p \geq 1$.

 $\mathcal{E}_p f \geq C_{n,p}(\Omega) \|f\|_p^{\frac{n-1}{n}} \||\nabla f|\|_p^{1/n}$ We know that $\mathcal{E}_p f \leq \||\nabla f|\|_p.$

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Theorem,

- ② $\{f \in W_0^{1,p}(\Omega) | |||\nabla f|||_p \le 1\} \subset L^p(\Omega)$ is compact. (Rellich-Kondrachov Theorem)

$\mathsf{Theorem}$

- $\{ f \in W_0^{1,p}(\Omega) | \ \mathcal{E}_p f \leq 1 \} \subset L^p(\Omega) \ \text{is compact.}$ this set is unbounded in $W_0^{1,p}(\Omega).$

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- $\mathfrak{S} \mathcal{E}_p f \geq C \|f\|_p$ for every $f \in W_0^{1,p}(\Omega)$.
- **③** ∃ Extremal function $f_p \in W_0^{1,p}(\Omega)$ or $f_1 \in \mathrm{BV}(\Omega)$ for the best constant $\lambda_{p,\Omega}^{\mathcal{A}}$,

$$\lambda_{p,\Omega}^{\mathcal{A}} = \inf \frac{\mathcal{E}_p f}{\|f\|_p}$$

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 $\begin{array}{l} \bullet \quad \Delta_p^{\mathcal{A}} f + \lambda^p |f|^{p-2} f = 0 \quad \text{in} \quad \Omega \text{ for } \lambda = \lambda_{p,\Omega}^{\mathcal{A}}. \\ \text{Yes! Let's call } \Delta_p^{\mathcal{A}} \text{ the } p\text{-affine laplacian} \end{array}$

Properties

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The affine Faber-Krahn inequality

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 (\mathbb{E} ellipsoid of same volume)

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The affine Faber-Krahn inequality

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 $\bullet \ \, \mathsf{Laplacian} \,\, \Delta f = \mathrm{div}(\nabla f)$

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Definition

$$\begin{split} \Delta_p^{\mathcal{A}} f &= \Delta_{p,G_f}(f) \\ G_f &= \left(\frac{\omega_n}{\operatorname{vol}(\Pi_p^{\circ} f)}\right)^{1/n} \Gamma_p \Pi_p^{\circ} f, \end{split}$$

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Properties

 $\textbf{ 1t is affine invariant } \Delta_p^{\mathcal{A}}(f\circ T) = (\Delta_p^{\mathcal{A}}f)\circ T \text{ on } T^{-1}(\Omega).$

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$$G_f = \left(\frac{\omega_n}{\operatorname{vol}(\Pi_p^{\circ} f)}\right)^{1/n} \Gamma_p \Pi_p^{\circ} f,$$

- $\textbf{ 1t is affine invariant } \Delta_p^{\mathcal{A}}(f\circ T) = (\Delta_p^{\mathcal{A}}f)\circ T \text{ on } T^{-1}(\Omega).$
- ② If f is radial then $\Delta_n^{\mathcal{A}} f = \Delta_p f$.

The Equation

$$\Delta_p^{\mathcal{A}} f + \lambda^p |f|^{p-2} f = 0$$
 in Ω .

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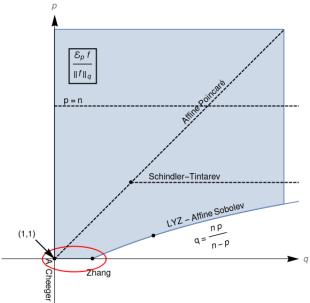
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- We don't know if the eigenvalue is simple.

The case p=1 and Ω convex



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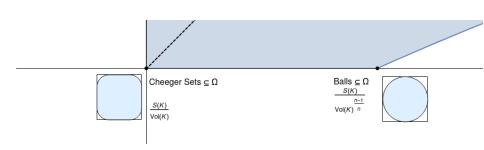
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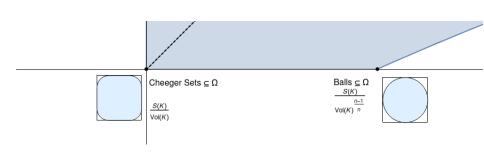
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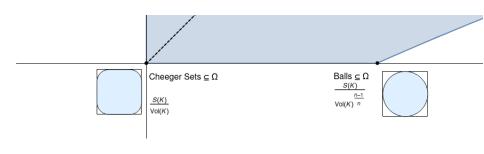
Affine Cheeger set

$$\inf_{f \in W_0^{1,p}(\Omega)} \frac{\mathcal{E}_1 f}{\|f\|_q} = \inf_{K \subseteq \Omega} \frac{S^{\mathcal{A}}(K)}{\operatorname{vol}(K)^{1/q}} = \inf_{K \subseteq \Omega} \frac{\operatorname{vol}(\Pi^{\circ}K)^{-1/n}}{\operatorname{vol}(K)^{1/q}}$$



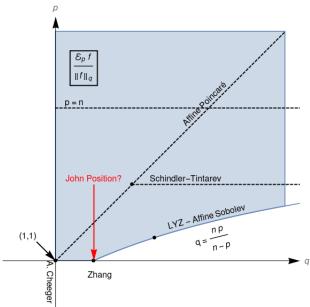


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Affine Rayleigh quotients



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Other Open Questions

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- 6 Higher eigenvalues? Spectral gap?

The end

Thank you