

# On the $L^p$ Aleksandrov problem for negative $p$

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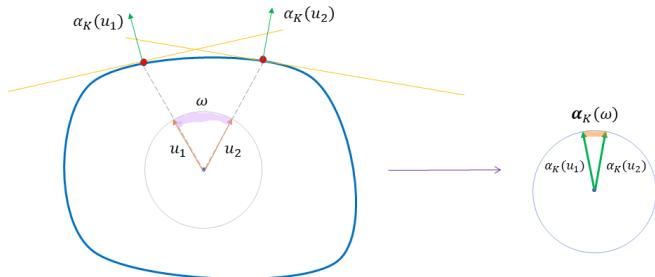
# Integral Curvature

- The integral curvature of  $K \in \mathcal{K}_o^n$  :

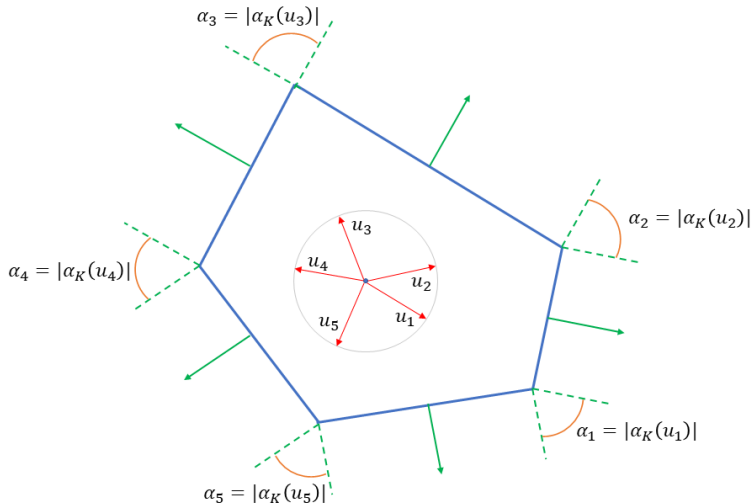
$$J(K, \omega) = \mathcal{H}^{n-1}(\alpha_K(\omega))$$

for every Borel  $\omega \subset S^{n-1}$  (Aleksandrov 1942)

- Radial Gauss map  $\alpha_K(\omega)$  maps radial vectors to normal vectors
- Measure of the normal cone of the radial projection to  $\partial K$



# Integral Curvature for a Polygon



$$J(P, \cdot) = \alpha_1 \delta_{u_1} + \alpha_2 \delta_{u_2} + \alpha_3 \delta_{u_3} + \alpha_4 \delta_{u_4} + \alpha_5 \delta_{u_5}$$

## Problem (Aleksandrov 1942)

What are the necessary and sufficient conditions on a Borel measure  $\mu$  on  $S^{n-1}$  so that

$$J(K, \cdot) = \mu$$

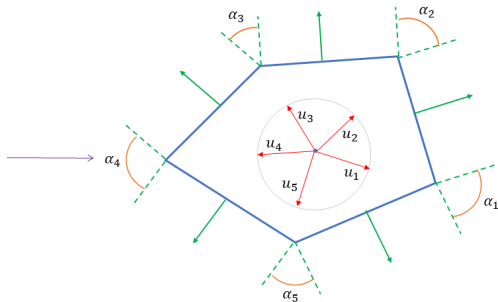
for some  $K \in \mathcal{K}_o^n$ ?

- Classical Aleksandrov problem is a type of Minkowski problem
  - Contrast with classical Minkowski problem:

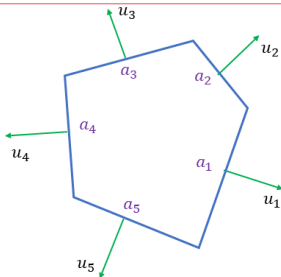
$$S_K(\cdot) = \mu$$

# Classical Aleksandrov Problem vs Minkowski Problem

$$\mu(u) = \begin{cases} \alpha_1, & \text{for } u = u_1 \\ \alpha_2, & \text{for } u = u_2 \\ \alpha_3, & \text{for } u = u_3 \\ \alpha_4, & \text{for } u = u_4 \\ \alpha_5, & \text{for } u = u_5 \end{cases}$$



$$\mu(u) = \begin{cases} a_1, & \text{for } u = u_1 \\ a_2, & \text{for } u = u_2 \\ a_3, & \text{for } u = u_3 \\ a_4, & \text{for } u = u_4 \\ a_5, & \text{for } u = u_5 \end{cases}$$



# Aleksandrov Condition

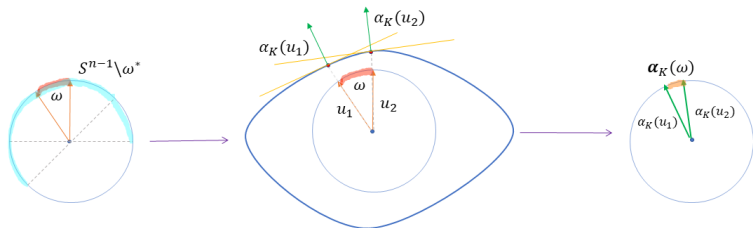
- A Borel measure  $\mu$  on  $S^{n-1}$  satisfies the Aleksandrov condition if

$$\mu(\omega) < \mathcal{H}^{n-1}(S^{n-1} \setminus \omega^*)$$

for each convex  $\omega \subset S^{n-1}$ , where  
 $\omega^* = \{v \in S^{n-1} : v \cdot u \leq 0 \ \forall u \in \omega\}$ .

- The integral curvature measure  $J(K, \cdot)$  for  $K \in \mathcal{K}_o^n$  satisfies the Aleksandrov condition

$$\alpha_K(\omega) \subset S^{n-1} \setminus \omega^*$$



# The Classical Aleksandrov Problem Solution

## Theorem (Aleksandrov 1942)

Suppose  $\mu$  is a finite Borel measure on  $S^{n-1}$ . Then  $\mu = J(K, \cdot)$  for some  $K \in \mathcal{K}_o^n$  if and only if  $|\mu| = o_n$  and

$$\mu(\omega) < \mathcal{H}^{n-1}(S^{n-1} \setminus \omega^*) \quad (0.1)$$

for each convex  $\omega \subset S^{n-1}$ , where  $\omega^* = \{v \in S^{n-1} : v \cdot u \leq 0 \ \forall u \in \omega\}$ . Furthermore,  $K$  is unique up to scaling.

- (Firey 1962) For every  $p \geq 1$ ,  $K, L \in \mathcal{K}_o^n$ , and  $a, b \geq 0$ , define

$$h_{aK \uplus_p bL} = (a \cdot h_K^p + b \cdot h_L^p)^{\frac{1}{p}}$$

- Generalized  $\forall p \in \mathbb{R}$ ,

$$a \cdot K \uplus_p b \cdot L = [(a \cdot h_K^p + b \cdot h_L^p)^{\frac{1}{p}}]$$

- Actively researched when (Lutwak 1993) discovered the concept of the  $L^p$  surface area measure
  - For each  $K, L \in \mathcal{K}_o^n$ , defined by variational formula

$$\left. \frac{d}{dt} V(K \uplus_p t \cdot L) \right|_{t=0} = \frac{1}{p} \int_{S^{n-1}} h_L(u)^p dS_p(K, u)$$



## Problem

For all  $p \in \mathbb{R}$ , what are the necessary and sufficient conditions on a given Borel measure  $\mu$  on  $S^{n-1}$  so that there exists a  $K \in \mathcal{K}_o^n$  with

$$\mu = S_p(K, \cdot) = h_K(\cdot)^{1-p} dS(K, \cdot)?$$

- $p = 1$  case is the classical Minkowski problem
- $p = 0$  case is the logarithmic Minkowski problem
- $p = -n$  case (largely unsolved) is the centro-affine Minkowski problem

- $L^p$  Minkowski Problem: Lutwak, Chou, Wang, Böröczky, LYZ, Stancu, Zhu, Chen, Hug, Li, Jian, Lu, Haberl, Huang, Liu, Kolesnikov, Milman, Oliker, Trinh, Bianchi, Colesanti, Xing, Xiong, Zou, Gardner, Ye, Weil, Hu, Ma, Shen, Xi, Leng, ...
- $L^p$  Affine Surface Area and Valuations: Lutwak, Ludwig, Paouris, Werner, Ye, Meyer, Schütt, Haberl, Parapatits, Reitzner, Colesanti, Hug, Wannerer, ...
- $L^p$  Affine Isoperimetric Inequalities: LYZ, Haberl, Schuster, Milman, Yehudayoff, Wang, Liu, Werner, Colesanti, Campi, Gronchi, ...
- $L^p$  Affine Sobolev Inequalities: Cianchi, LYZ, Haberl, Schuster, Kneifacz, Haddad, Jiménez, Montenegro, Napoli, Nguyen, Wang, Xiao, Zhai, ...

# $L^p$ Integral Curvature

- $p \in \mathbb{R}$  and  $a, b \geq 0$ , define  $L^p$  harmonic combination

$$a \cdot K \hat{+}_p b \cdot L = (a \cdot K^* +_p b \cdot L^*)^*$$

- (Huang-LYZ 2018, JDG) defined the  $L^p$  integral curvature by variational formula for each  $K, L \in \mathcal{K}_o^n$ :

$$\left. \frac{d}{dt} \mathcal{E}(K \hat{+}_p t \cdot L) \right|_{t=0} = \begin{cases} \frac{1}{p} \int_{S^{n-1}} \rho_L(u)^{-p} dJ_p(K, u) & , \text{ for } p \neq 0 \\ - \int_{S^{n-1}} \log(\rho_L(u)) dJ(K, u) & , \text{ for } p = 0 \end{cases}$$

where the entropy is

$$\mathcal{E}(K) = - \int_{S^{n-1}} \log h_K(v) dv$$

- Relationship to classical integral curvature

$$dJ_p(K, \cdot) = \rho_K^p dJ(K, \cdot)$$

# $L^p$ Integral Curvature vs. $L^p$ Surface Area (Duality)

- $J_p(K, \cdot)$  defined by a variation on entropy  $\mathcal{E}$  of the  $L^p$  harmonic combination
  - $S_p(K, \cdot)$  defined by a variation on volume  $V$  of the  $L^p$  Minkowski sum
- $J_p(K, \cdot)$  is a function on the radial sphere
  - $S_p(K, \cdot)$  is a function on the normal sphere
- $dJ_p(K, \cdot) = \rho_K^p dJ(K, \cdot)$ 
  - $dS_p(K, \cdot) = h_K(\cdot)^{1-p} dS(K, \cdot)$
- $J(K, \cdot)$  measures exterior angles
  - $S(K, \cdot)$  measures surface area

## Problem

Fix  $p \in \mathbb{R}$ . What are the necessary and sufficient conditions on a given Borel measure  $\mu$  on  $S^{n-1}$  so that there exists a convex body  $K \in \mathcal{K}_o^n$  with

$$J_p(K, \cdot) = \mu ?$$

- If  $\mu$  has density  $f$ , equivalent to PDE

$$\det(\nabla_{ij}^2 h + h\delta_{ij}) = \frac{(|\nabla h|^2 + h^2)^{\frac{n}{2}}}{h^{1-p}} f$$

- (Huang-LYZ 2018) completely solved existence for  $p > 0$
- (Huang-LYZ 2018) solved existence under some strong conditions when  $p < 0$ 
  - Measure is even and vanishes on all great subspheres
  - Excludes many shapes, including polytopes
- (Zhao 2019, Proc. AMS) addressed this polytope gap
  - $-1 < p < 0$
  - Measure is even and discrete

# Recent Progress for $p < 0$ Case (M. 2021)

- Completely solve the symmetric case for  $-1 < p < 0$

## Theorem

$\mu$  is even and  $-1 < p < 0$ . Then  $\exists K \in \mathcal{K}_e^n$  s.t.  $J_p(K, \cdot) = \mu$  iff  $\mu$  is not completely concentrated on lower dimensional subspace.

- A sufficient measure concentration condition for the symmetric case and  $p \leq -1$

## Theorem

$p \leq -1$ ,  $\mu$  is even and satisfies

$$\frac{\mu(\xi)}{\mu(S^{n-1})} \leq C(n)^p$$

for all great subspheres  $\xi \subset S^{n-1}$ , where

$C(n) = \exp \left[ \frac{1}{2} \left( \psi \left( \frac{n}{2} \right) - \psi \left( \frac{1}{2} \right) \right) \right]$ . Then  $\exists K \in \mathcal{K}_e^n$  s.t.  $J_p(K, \cdot) = \mu$ .

- Convert to maximization problem
- Compactness of maximizing sequence
  - Blaschke's Selection  $\implies$  convergence to a compact convex  $Q^0$
- Non-collapse for  $Q^0$
- $o \in \text{int}Q^0$ 
  - Previous two points are equivalent for  $o$ -symmetric case
  - Most difficult part of proofs for both theorems



- Denote  $o_n$  to be the surface area of the unit sphere. For any nonzero, finite Borel measure  $\mu$  on  $S^{n-1}$  and  $p \neq 0$ , we consider the following functionals
  - $\tilde{\Phi}_p(Q) = \exp\left(\frac{1}{o_n}\mathcal{E}(Q)\right) \cdot \left(\int_{S^{n-1}} \rho_Q^{-p}(u) d\mu(u)\right)^{-\frac{1}{p}}$
  - $\Phi_p(Q) = -\frac{1}{p} \log\left(\int_{S^{n-1}} \rho_Q^{-p}(v) d\mu(v)\right) + \frac{1}{o_n}\mathcal{E}(Q)$

## Lemma

Suppose  $p \neq 0$  and  $\mu$  is an even Borel measure on  $S^{n-1}$ . If  $K \in \mathcal{K}_e^n$  satisfies

$$o_n = \int_{S^{n-1}} \rho_K^{-p}(u) d\mu(u) \quad (0.2)$$

and  $\tilde{\Phi}_p(K) = \sup\{\tilde{\Phi}_p(Q) : Q \in \mathcal{K}_e^n\}$  or

$\Phi_p(K) = \sup\{\Phi_p(Q) : Q \in \mathcal{K}_e^n\}$ , then  $\mu = J_p(K, \cdot)$ .

# Sketch of Proof $p \in (-1, 0)$

- Maximize

$$\tilde{\Phi}_p(Q) = \exp\left(\frac{-1}{o_n} \int_{S^{n-1}} \log h_Q(v) dv\right) \cdot \left(\int_{S^{n-1}} \rho_Q^{-p}(u) d\mu(u)\right)^{-\frac{1}{p}}$$

- Show existence of maximizer  $Q^0$
- Show that  $Q^0$  is nondegenerate (i.e.  $o \in \text{int}Q^0$ )
- Consider a cylindrical thickening given by  $K^t = Q^0 + tB^{n-k}$

# Sketch of Proof $p \in (-1, 0)$

- (Contradiction Approach) Assume optimizer  $Q^0$  spans a  $k < n$  dimensional subspace
- Contradiction by showing  $\tilde{\Phi}_p(K^t) > \tilde{\Phi}_p(Q^0)$  for small  $t > 0$ 
  - $\frac{\tilde{\Phi}_p(K^t)}{\tilde{\Phi}_p(Q^0)} \rightarrow 1$
  - $\frac{d}{dt} \frac{\tilde{\Phi}_p(K^t)}{\tilde{\Phi}_p(Q^0)} > 0$

- Estimate for  $\Delta_2(Q^0, t) := \frac{(\int_{S^{n-1}} \rho_{K^t}^{-p}(u) d\mu(u))^{-\frac{1}{p}}}{(\int_{S^{n-1}} \rho_{Q^0}^{-p}(u) d\mu(u))^{-\frac{1}{p}}}$ 
  - $\lim_{t \rightarrow 0^+} \tilde{\Delta}_2(Q^0, t) = 1$
  - $\lim_{t \rightarrow 0^+} \frac{d}{dt} \tilde{\Delta}_2(Q^0, t) \sim t^{-p-1}$
- Estimate for  $\Delta_1(Q^0, t) := \frac{\exp\left(\frac{-1}{\sigma_n} \int_{S^{n-1}} \log h_{K^t}(v) dv\right)}{\exp\left(\frac{-1}{\sigma_n} \int_{S^{n-1}} \log h_{Q^0}(v) dv\right)}$ 
  - $\lim_{t \rightarrow 0^+} \tilde{\Delta}_1(Q^0, t) = 1$
  - $\lim_{t \rightarrow 0^+} \frac{d}{dt} \tilde{\Delta}_1(Q^0, t) \gtrsim \log(t)$ .
    - Proved first for the case  $Q^0 = rB^k$  and generalized to arbitrary  $k$ -dimensional symmetric convex bodies
- $\frac{d}{dt} \frac{\tilde{\Phi}_p(K^t)}{\tilde{\Phi}_p(Q^0)} \sim t^{-p-1} + \log(t)$

# Sketch of Proof $p \leq -1$

- Maximize

$$\Phi_p(Q) = -\frac{1}{p} \log \left( \int_{S^{n-1}} \rho_Q^{-p}(u) d\mu(u) \right) - \frac{1}{\sigma_n} \int_{S^{n-1}} \log h_Q(v) dv$$

- Suppose  $\{Q_l\}$  is maximizing sequence
- $\Phi_p$  is scale invariant  $\implies$  rescale

$$\left( \int_{S^{n-1}} \log(h_{Q_l}(u)) du \right) = 0$$

- $Q_l \subset MB^n$ , for some  $M > 0 \implies \{Q_l\} \rightarrow Q^0$
- Prove  $Q_0$  is nondegenerate by contradiction
- Assume  $\exists u_0 \in S^{n-1}$  such that  $h_{Q_0}(\pm u_0) = 0$

- $\forall \delta > 0$ , define  $\omega_\delta(u_0) := \{v \in S^{n-1} : |v \cdot u_0| > \delta\}$

$$\begin{aligned}\Phi_p(Q_l) &= -\frac{1}{p} \log \left( \int_{S^{n-1}} \rho_{Q_l}^{-p}(v) d\mu(v) \right) \\ &\leq -\frac{1}{p} \log \left( \left( \sup_{\omega_\delta} \rho_{Q_l}^{-p}(v) - M^{-p} \right) \mu(\omega_\delta) + M^{-p} \mu(S^{n-1}) \right)\end{aligned}$$

- Taking limits and applying the measure concentration, showed:

$$\begin{aligned}\lim_{l \rightarrow \infty} \Phi_p(Q_l) &\leq -\frac{1}{p} \log(\mu(S^{n-1})) \\ &= \Phi_p(B^n).\end{aligned}$$

contradicts assumption that  $Q_0$  is maximizer

# Calculation of $C(n)$

$$\begin{aligned}C(n) &= \exp\left(\frac{-1}{o_n} \int_{S^{n-1}} \log |v_0 \cdot u| \, du\right) \\&= \exp\left(-\frac{2 \cdot o_{n-1}}{o_n} \int_0^{\frac{\pi}{2}} (\sin^{n-2} \phi) \log(\cos \phi) \, d\phi\right) \\&= \lim_{q \rightarrow 0} \exp\left[\frac{1}{q} \log\left(\frac{2 \cdot o_{n-1}}{o_n} \int_0^{\frac{\pi}{2}} (\sin^{n-2} \phi) (\cos^{-q} \phi) \, d\phi\right)\right] \\&= \exp\left[\lim_{q \rightarrow 0} \frac{1}{q} \log\left(\frac{\Gamma\left(\frac{n}{2}\right)}{\sqrt{\pi} \Gamma\left(\frac{n-1}{2}\right)} \cdot \frac{\Gamma\left(\frac{1-q}{2}\right) \Gamma\left(\frac{n-1}{2}\right)}{\Gamma\left(\frac{n-q}{2}\right)}\right)\right] \\&= \exp\left[\frac{1}{2} \left(\psi\left(\frac{n}{2}\right) - \psi\left(\frac{1}{2}\right)\right)\right]\end{aligned}$$

- Note that  $C(n)^p \rightarrow 0$  as  $n \rightarrow \infty$  at rate  $O(n^{\frac{p}{2}})$

- Optimal measure concentration condition for existence in  $L^p$  Aleksandrov for  $p \leq -1$
- Eliminating the origin-symmetry assumption
- Analogous unsolved questions for  $L^p$  Dual Minkowski problem
- $L^p$  Dual Minkowski problem
  - $p < 0$  and  $q > 0$
  - $p < 0$  and  $q < 0$



Thanks for listening!