

On the unique determination of ellipsoids by dual volumes

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(joint work with S. Myroschnychenko and V. Yaskin)

Online Asymptotic Geometric Analysis Seminar

Classical Steiner formula

For a convex body K and $t > 0$,

$$\text{vol}_n(K + tB_2^n) = \sum_{i=0}^n \kappa_{n-i} V_i(K) t^{n-i}$$

where κ_{n-i} is the volume of B_2^{n-i} . The coefficients $V_i(K)$ are called *intrinsic volumes*.

In particular,

$V_0(K)$ = the volume of the unit ball;

$V_1(K)$ = (multiple of) the mean width;

$V_{n-1}(K)$ = (multiple of) the surface area;

$V_n(K)$ = the volume of K .

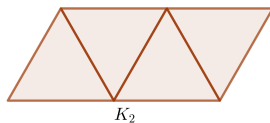
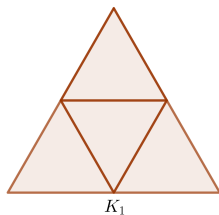
Intrinsic volumes and uniqueness of convex bodies

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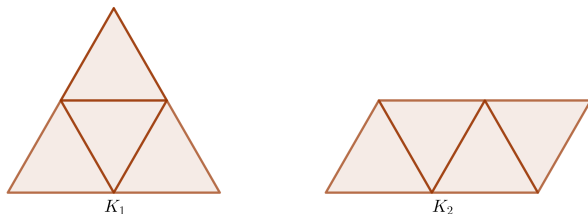
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To expect positive answer: consider some n -parametric families of convex bodies.

- rectangular parallelepipeds: yes (Vieta theorem);

- ellipsoids:

$$\mathcal{E}_a = \left\{ x \in \mathbb{R}^n : \frac{x_1^2}{a_1^2} + \dots + \frac{x_n^2}{a_n^2} \leq 1 \right\}.$$

Conjecture (Gusakova, Zaporozhets (2017))

Let \mathcal{E}_a and \mathcal{E}_b be two ellipsoids in \mathbb{R}^n such that $V_1(\mathcal{E}_a) = V_1(\mathcal{E}_b)$, $V_2(\mathcal{E}_a) = V_2(\mathcal{E}_b), \dots, V_n(\mathcal{E}_a) = V_n(\mathcal{E}_b)$. Then \mathcal{E}_a and \mathcal{E}_b are congruent.

\mathbb{R}^2 : true;

\mathbb{R}^3 : true, Petrov, Tarasov (2020);

\mathbb{R}^n , $n \geq 4$: problem is open.

Steiner formula of the dual Brunn Minkowski theory

For a star body K in \mathbb{R}^n and $t > 0$, we have that

$$\text{vol}_n(K \tilde{+} tB_2^n) = \sum_{i=0}^n \binom{n}{i} \tilde{V}_i(K) t^{n-i},$$

where $\tilde{+}$ is a radial addition. The coefficients $\tilde{V}_i(K)$ are called *dual volumes*.

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where $\tilde{+}$ is a radial addition. The coefficients $\tilde{V}_i(K)$ are called *dual volumes*.

Dual volumes of order i can be written as

$$\tilde{V}_i(K) = \frac{1}{n} \int_{S^{n-1}} \rho_K^i(\theta) d\theta,$$

where $\rho_K(\theta) = \max\{\lambda \geq 0 \mid \lambda\theta \in K\}$ is the radial function of K .

Dual question

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Yes!

Theorem (Myroshnychenko, T., Yaskin (2020))

Let \mathcal{E}_a and \mathcal{E}_b be two ellipsoids in \mathbb{R}^n , $n \geq 2$, centered at the origin such that $\tilde{V}_1(\mathcal{E}_a) = \tilde{V}_1(\mathcal{E}_b)$, $\tilde{V}_2(\mathcal{E}_a) = \tilde{V}_2(\mathcal{E}_b), \dots, \tilde{V}_n(\mathcal{E}_a) = \tilde{V}_n(\mathcal{E}_b)$. Then \mathcal{E}_a and \mathcal{E}_b are congruent.

Representation of dual volumes

Lemma

Let \mathcal{E}_a be an ellipsoid centered at the origin with semi-axes a_1, \dots, a_n . If $i \in \mathbb{R}$ such that $0 < i < n$, then

$$\tilde{V}_i(\mathcal{E}_a) = \frac{4\pi^{n/2}}{n\Gamma\left(\frac{n-i}{2}\right)\Gamma\left(\frac{i}{2}\right)} \int_0^\infty \frac{u^{i-1}}{\sqrt{\left(1 + \frac{u^2}{a_1^2}\right) \cdots \left(1 + \frac{u^2}{a_n^2}\right)}} du.$$

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Sketch of the proof:

Recall that

$$\tilde{V}_i(\mathcal{E}_a) = \frac{1}{n} \int_{S^{n-1}} \rho_{\mathcal{E}_a}^i(\theta) d\theta = \frac{1}{n} \int_{S^{n-1}} \|\theta\|_{\mathcal{E}_a}^{-i} d\theta,$$

where $\|\theta\|_{\mathcal{E}_a}$ is the Minkowski functional of \mathcal{E}_a , i.e.

$$\|x\|_{\mathcal{E}_a} = \left(\frac{x_1^2}{a_1^2} + \cdots + \frac{x_n^2}{a_n^2} \right)^{1/2}, \quad x \in \mathbb{R}^n.$$

Representation of dual volumes

For $i \in (0, n)$, we have

$$\int_{S^{n-1}} \|\theta\|_{\mathcal{E}_a}^{-i} d\theta = \frac{2}{\Gamma\left(\frac{n-i}{2}\right)} \int_{\mathbb{R}^n} \|x\|_{\mathcal{E}_a}^{-i} e^{-|x|^2} dx.$$

(pass to polar coordinates in the latter integral)

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Thus,

$$\tilde{V}_i(\mathcal{E}_a) = \frac{4}{n\Gamma\left(\frac{n-i}{2}\right)\Gamma\left(\frac{i}{2}\right)} \int_0^\infty u^{i-1} \int_{\mathbb{R}^n} e^{-\left(\frac{x_1^2}{a_1^2} + \dots + \frac{x_n^2}{a_n^2}\right) u^2} e^{-|x|^2} dx du$$

Representation of dual volumes

$$= \frac{4}{n \Gamma\left(\frac{n-i}{2}\right) \Gamma\left(\frac{i}{2}\right)} \int_0^\infty u^{i-1} \int_{\mathbb{R}} e^{-x_1^2 \left(1 + \frac{u^2}{a_1^2}\right)} dx_1 \cdots \int_{\mathbb{R}} e^{-x_n^2 \left(1 + \frac{u^2}{a_n^2}\right)} dx_n du$$

Representation of dual volumes

$$\begin{aligned} &= \frac{4}{n\Gamma\left(\frac{n-i}{2}\right)\Gamma\left(\frac{i}{2}\right)} \int_0^\infty u^{i-1} \int_{\mathbb{R}} e^{-x_1^2\left(1+\frac{u^2}{a_1^2}\right)} dx_1 \cdots \int_{\mathbb{R}} e^{-x_n^2\left(1+\frac{u^2}{a_n^2}\right)} dx_n du \\ &= \frac{4}{n\Gamma\left(\frac{n-i}{2}\right)\Gamma\left(\frac{i}{2}\right)} \int_0^\infty u^{i-1} \frac{\sqrt{\pi}}{\sqrt{1+\frac{u^2}{a_1^2}}} \cdots \frac{\sqrt{\pi}}{\sqrt{1+\frac{u^2}{a_n^2}}} du. \\ &\quad \text{(use } \int_{\mathbb{R}} e^{-y^2 t} dy = \frac{\sqrt{\pi}}{\sqrt{t}} \text{ for } t > 0) \end{aligned}$$

Sketch of the proof (main theorem) I

Now we give the idea for the proof of the main theorem.

$$\tilde{V}_i(\mathcal{E}_a) = \tilde{V}_i(\mathcal{E}_b), \quad i = 1, \dots, n \quad \Rightarrow \quad \begin{cases} \int_0^\infty \frac{u^{i-1}}{\sqrt{(1+\frac{u^2}{a_1^2}) \cdots (1+\frac{u^2}{a_n^2})}} du = \int_0^\infty \frac{u^{i-1}}{\sqrt{(1+\frac{u^2}{b_1^2}) \cdots (1+\frac{u^2}{b_n^2})}} du, \\ \quad \quad \quad i = 1, \dots, n-1; \\ a_1 \cdots a_n = b_1 \cdots b_n. \end{cases}$$

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Denote

$$F(u) = \frac{1}{\sqrt{(1+\frac{u^2}{a_1^2}) \cdots (1+\frac{u^2}{a_n^2})}} - \frac{1}{\sqrt{(1+\frac{u^2}{b_1^2}) \cdots (1+\frac{u^2}{b_n^2})}}.$$

Thus,

$$\int_0^\infty u^{i-1} F(u) du = 0$$

for $i = 1, \dots, n-1$.

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This implies that for any polynomial $P(u)$ of degree at most $n - 2$, we have

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If so, we can choose $P(u)$ such that it changes sign exactly at these positive numbers

- $\Rightarrow PF$ is either everywhere positive or everywhere negative;
- $\Rightarrow F \equiv 0$;
- $\Rightarrow \{a_1, \dots, a_n\}$ and $\{b_1, \dots, b_n\}$ coincide.

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To find roots of $F(u) = 0$, we can solve

$$\left(1 + \frac{u^2}{a_1^2}\right) \cdots \left(1 + \frac{u^2}{a_n^2}\right) = \left(1 + \frac{u^2}{b_1^2}\right) \cdots \left(1 + \frac{u^2}{b_n^2}\right).$$

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We can rewrite it as

$$1 + \underbrace{u^2}_{\text{degree } n-2} \underbrace{q(u^2)}_{\text{degree } n-2} + \frac{u^{2n}}{a_1^2 \cdots a_n^2} = 1 + \underbrace{u^2}_{\text{degree } n-2} \underbrace{r(u^2)}_{\text{degree } n-2} + \frac{u^{2n}}{b_1^2 \cdots b_n^2},$$

and use equality of volumes to reduce it to

$$q(u^2) = r(u^2).$$

Recall that

$$\tilde{V}_i(K) = \frac{1}{n} \int_{S^{n-1}} \rho_K^i(\theta) d\theta,$$

for any real i .

Thus,

$\{\tilde{V}_i\}_{i=1}^n$ replace with n -tuple $\{\tilde{V}_{i_k}\}_{k=1}^n$

where i_1, \dots, i_n are distinct real numbers from the interval $(-2, n]$.

Theorem (Myroshnychenko, T., Yaskin (2020))

Let \mathcal{E}_a and \mathcal{E}_b be two ellipsoids in \mathbb{R}^n , centered at the origin such that $\tilde{V}_{i_1}(\mathcal{E}_a) = \tilde{V}_{i_1}(\mathcal{E}_b)$, $\tilde{V}_{i_2}(\mathcal{E}_a) = \tilde{V}_{i_2}(\mathcal{E}_b)$, ..., $\tilde{V}_{i_n}(\mathcal{E}_a) = \tilde{V}_{i_n}(\mathcal{E}_b)$ for $i_k \in (-2, n] \setminus \{0\}$. Then \mathcal{E}_a and \mathcal{E}_b are congruent.

We can proceed as before using that

$$\tilde{V}_{i_k}(\mathcal{E}_a) = \tilde{V}_{i_k}(\mathcal{E}_b) \Rightarrow \int_0^\infty u^{i_k-1} F(u) du = 0, \text{ and}$$

Lemma (Eskenazis, Nayar, Tkocz (2018))

Let $f : [0, \infty) \rightarrow \mathbb{R}$ be a continuous function that changes sign at most $N - 1$ times in the interval $(0, \infty)$. If there exist N real numbers p_1, \dots, p_N such that

$$\int_0^\infty t^{p_k} f(t) dt = 0 \quad \text{for every } k = 1, \dots, N,$$

then f is identically equal to zero.

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Let \mathcal{E}_a and \mathcal{E}_b be two ellipsoids in \mathbb{R}^n , centered at the origin such that $\tilde{V}_{i_1}(\mathcal{E}_a) = \tilde{V}_{i_1}(\mathcal{E}_b)$, $\tilde{V}_{i_2}(\mathcal{E}_a) = \tilde{V}_{i_2}(\mathcal{E}_b), \dots, \tilde{V}_{i_n}(\mathcal{E}_a) = \tilde{V}_{i_n}(\mathcal{E}_b)$ for $i_k \in (-2, n] \setminus \{0\}$. Then \mathcal{E}_a and \mathcal{E}_b are congruent.

Remark: We can take larger intervals for i_k if we fix some conditions on dual volumes.

For example,

if $\tilde{V}_n(\mathcal{E}_a) = \tilde{V}_n(\mathcal{E}_b)$ and $\tilde{V}_{i_k}(\mathcal{E}_a) = \tilde{V}_{i_k}(\mathcal{E}_b)$ for $i_k \in (-2, n+2) \setminus \{0, n\}$, then conclusion is the same.

Theorem (Petrov, Tarasov (2020))

Let \mathcal{E}_a and \mathcal{E}_b be two ellipsoids in \mathbb{R}^3 such that $V_1(\mathcal{E}_a) = V_1(\mathcal{E}_b)$, $V_2(\mathcal{E}_a) = V_2(\mathcal{E}_b)$, $V_3(\mathcal{E}_a) = V_3(\mathcal{E}_b)$. Then \mathcal{E}_a and \mathcal{E}_b are congruent.

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Alternative proof:

Using formulas which relate intrinsic (dual) volumes of ellipsoid and its polar

$$V_i(\mathcal{E}) = \frac{\kappa_i}{\kappa_n \kappa_{n-i}} V_n(\mathcal{E}) V_{n-i}(\mathcal{E}^\circ) \quad \text{and} \quad \tilde{V}_i(\mathcal{E}) = \frac{1}{\kappa_n} \tilde{V}_n(\mathcal{E}) \tilde{V}_{n-i}(\mathcal{E}^\circ),$$

we can express

$$V_1(\mathcal{E}) = \frac{4}{V_3(\mathcal{E})} \tilde{V}_4(\mathcal{E}) \quad \text{and} \quad V_2(\mathcal{E}) = \frac{9}{8\pi} V_3(\mathcal{E}) \tilde{V}_{-1}(\mathcal{E}).$$

$$\{V_1(\mathcal{E}), V_2(\mathcal{E}), V_3(\mathcal{E})\} \longleftrightarrow \{\tilde{V}_{-1}(\mathcal{E}), \tilde{V}_3(\mathcal{E}) = V_3(\mathcal{E}), \tilde{V}_4(\mathcal{E})\}.$$

Theorem (Myroshnychenko, T., Yaskin (2020))

I. Let \mathcal{E}_a be \mathcal{E}_b be two ellipsoids of revolution in \mathbb{R}^n with semi-axes a_1, \dots, a_1, a_n and b_1, \dots, b_1, b_n such that $V_n(\mathcal{E}_a) = V_n(\mathcal{E}_b)$, $V_i(\mathcal{E}_a) = V_i(\mathcal{E}_b)$, $V_{n-i}(\mathcal{E}_a) = V_{n-i}(\mathcal{E}_b)$ for some $i \neq n/2$, $1 \leq i \leq n-1$. Then \mathcal{E}_a and \mathcal{E}_b are congruent.

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Intrinsic volumes of ellipsoid of revolution can be represented as

$$V_i(\mathcal{E}_a) = C(n, i) a_1^i \int_{S^{n-1}} \left(\frac{a_n^2}{a_1^2} (\theta_1^2 + \dots + \theta_i^2) + \theta_{i+1}^2 + \dots + \theta_n^2 \right)^{1/2} d\theta,$$

where $C(n, i) = \frac{\binom{n}{i}}{n(2\kappa_n)^i \kappa_{n-i}}$.

Ellipsoids of revolution: part I

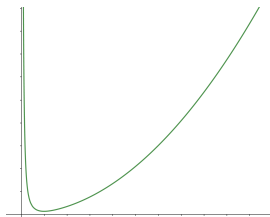
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$$\begin{cases} V_n(\mathcal{E}_a) = V_n(B_2^n) \\ V_i(\mathcal{E}_a) = C(n, i) \int_{S^{n-1}} (a_1^{2i-2n}(\theta_1^2 + \dots + \theta_k^2) + a_1^{2i}(\theta_{i+1}^2 + \dots + \theta_n^2))^{1/2} d\theta. \end{cases} \quad \text{not enough}$$

$$a_1 \rightarrow 0 \text{ or } a_1 \rightarrow \infty$$

$$V_i(\mathcal{E}) \rightarrow \infty$$



Sketch of the proof of part I

We can assume that $V_n(\mathcal{E}_a) = V_n(\mathcal{E}_b) = V_n(B_2^n)$. Then \mathcal{E}_a and \mathcal{E}_b have semi-axes a_1, \dots, a_1^{-n+1} and b_1, \dots, b_1^{-n+1} . Goal: show that $a_1 = b_1$.

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We can show that

$$V_i(\mathcal{E}_a) = V_i(\mathcal{E}_b) \iff \tilde{V}_{-1}(\mathcal{E}_a) = \tilde{V}_{-1}(\mathcal{E}_b),$$

and

$$\begin{aligned} V_{n-i}(\mathcal{E}_a) = V_{n-i}(\mathcal{E}_b) & \iff V_i(\mathcal{E}) = \frac{\kappa_i}{\kappa_n \kappa_{n-i}} V_n(\mathcal{E}) V_{n-i}(\mathcal{E}^\circ) & \tilde{V}_{-1}(\mathcal{E}_a^\circ) = \tilde{V}_{-1}(\mathcal{E}_b^\circ) \\ 5 & \iff \tilde{V}_i(\mathcal{E}) = \frac{1}{\kappa_n} \tilde{V}_n(\mathcal{E}) \tilde{V}_{n-i}(\mathcal{E}^\circ) & \tilde{V}_{n+1}(\mathcal{E}_a) = \tilde{V}_{n+1}(\mathcal{E}_b). \end{aligned}$$

Sketch of the proof of part I

$$\int_0^{\infty} u^{-2} F(u) du = 0 \quad \text{and} \quad \int_0^{\infty} u^n F(u) du = 0$$

where

$$F(u) = \frac{1}{(1 + a_1^{2i-2n} u^2)^{\frac{i}{2}} (1 + a_1^{2i} u^2)^{\frac{n-i}{2}}} - \frac{1}{(1 + b_1^{2i-2n} u^2)^{\frac{i}{2}} (1 + b_1^{2i} u^2)^{\frac{n-i}{2}}}.$$

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Claim: $F(u)$ has at most one positive root.

Theorem (Myroshnychenko, T., Yaskin (2020))

II. *If n is even, then $V_n, V_{n/2}, V_i$, for any i different from n and $n/2$, uniquely determine an ellipsoid of revolution (up to an isometry).*

Theorem (Myroshnychenko, T., Yaskin (2020))

II. *If n is even, then $V_n, V_{n/2}, V_i$, for any i different from n and $n/2$, uniquely determine an ellipsoid of revolution (up to an isometry).*

Sketch of the proof:

Theorem (Myroshnychenko, T., Yaskin (2020))

II. If n is even, then V_n , $V_{n/2}$, V_i , for any i different from n and $n/2$, uniquely determine an ellipsoid of revolution (up to an isometry).

Sketch of the proof:

- Show that

$$V_{n/2}(\mathcal{E}_a) = \text{constant} \cdot \int_0^\infty u^{-2} \left(1 - \frac{1}{((1 + a_1^{-n} u^2)(1 + a_1^n u^2))^{\frac{n}{4}}} \right) du.$$

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Sketch of the proof:

- Show that

$$V_{n/2}(\mathcal{E}_a) = \text{constant} \cdot \int_0^\infty u^{-2} \left(1 - \frac{1}{((1 + a_1^{-n} u^2)(1 + a_1^n u^2))^{\frac{n}{4}}} \right) du.$$

- Note that $(1 + a_1^{-n} u^2)(1 + a_1^n u^2)$ is decreasing in a_1 on $(0, 1)$ and increasing in a_1 on $(1, \infty)$.

Theorem (Myroshnychenko, T., Yaskin (2020))

II. If n is even, then $V_n, V_{n/2}, V_i$, for any i different from n and $n/2$, uniquely determine an ellipsoid of revolution (up to an isometry).

Sketch of the proof:

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- Note that $(1 + a_1^{-n} u^2)(1 + a_1^n u^2)$ is decreasing in a_1 on $(0, 1)$ and increasing in a_1 on $(1, \infty)$.
- $V_{n/2}(\mathcal{E}_a)$ is invariant under the transformation $a_1 \rightarrow \frac{1}{a_1}$.

Sketch of the proof of part II

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Thus, by part I,

$$\mathcal{E}_a = \mathcal{E}_a^\circ \quad \Rightarrow \quad \mathcal{E}_a = B_2^n = \mathcal{E}_b.$$

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Hence, \mathcal{E}_a and \mathcal{E}_b are the same.

Thank you!